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PE	4200					Page 1 of 3
N 03 J	(m <i>800</i>			FEE TRANSMITTAL	FOR FY 2005	
TIM O	Ē E	ffective on	12/08/20		lidated Appropriations Act, 2005 (H.R.	4818).
Tames 1	te if Kn	own:		TOTAL AMOUNT OF PA	AYMENT (\$) <u>0.00</u>	
	ation No		10/68	38,556		
Filing C		·		ber 16, 2003		
		ventor		olas Paul Cowley	·.	
	ner Nam		LYIOLI	Jids I ddi Comici		
Art Uni			2681			
	y Dock	et No		P004		
		Applic	ant clai	ms small entity status. See	37 CFR 1.27.	
METH	OD OF	DAYME	MT (chi	eck all that apply)		
IAICIII					Order X None O	ther (please identify)
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	_ ոշիս։			nt Number :02-2666_		
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х	The Di				respect to the above-identified	Deposit Account:
	X			indicated below.		- vp
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				indicated below except for t		
	$\frac{X}{X}$			erpayments.	-	
	X	Any co	ncurrent	or future reply that requires a p	petition for extension of time should	
		incorpo	rating a	n appropriate petition for extens	sion of time and all required fees sh	ould be charged.
				may become public. Credit card authorization on PTO-2038.	d information should not be include	ed on this form.
			auon	d dunionzation on 1 10 2005.		
FEE C	ALCUL	AHON				
1. <u>BAS</u>	SIC FIL	ING, SE	ARCH,	AND EXAMINATION FEE	<u>S</u>	BY AND COMMENT AND A WARRY A LAS I WARRY AND A STORM A
Large E		<u>Small I</u>				
Fee	Fee	Fee	Fee	5 B		
Code	(\$)	Code		Fee Description		<u>Fees Paid (\$)</u>
1011	300	2011	150	Utility application filing fo		
1111	500	2111	250	Utility search fee	1,000/500	
1311	200	2311	100	Utility examination fee	}	
1012	200	2012	100	Design application filing	fee	
1112	100	2112	50	Design search fee	_ 430/215	
1312	130	2312	65	Design examination fee	1	
1013	200	2013	100	Plant filing fee	ſ	
1113	300	2113	150	Plant search fee	5 660/330	<del></del>
1313	160	2313	80	Plant examination fee	7 000/330	
1313	100	2010	00	rant examination ree	}	
1004	300	2004	150	Reissue filing fee	J	
1114	500	2114	250	Reissue search fee	_ 1,400/700	
1314	600	2314	300	Reissue examination fee		
					ſ	
1005	200	2005	100	Provisional application fi	ling fée	<del></del>
					SUBTOTAL (1) \$_	0.00
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2. EXCESS CLA	MIA	<b>FEES</b>
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	Extra Claims	Fee from below Fees Paid (\$)
Total Claims	- 20 or HP =	X =
Independent Claims	f total claims paid for, if greater than 20  -3 or HP =	x =
HP = highest number of Multiple Dependent C	f independent claims paid for, if greater than Claims	an 3 =

Large	<b>Entity</b>	Small I	Entity	
Fee	Fee	Fee	Fee	
Code	(\$)	Code	(\$)	Fee Description
1202	50	2202	25	Each claim over 20
1201	200	2201	100	Each independent claim over 3
1203	360	2203	180	Multiple dependent claims, if not paid
1204	200	2204	100	Reissue: each claim over 20 and more than in the original patent
1205	50	2205	25	Reissue: each independent claim more than in the original patent

SUBTOTAL (2) \$ 0.00

### 3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each add'l 50 or fraction thereof	below	Fees paid (\$)
	100 = / 50 =	(round up to whole number)	x	
Large Entity	Small Entity			

Fee	Fee	Fee	Fee	Fee Description: Application size fee for each additional group of 50 sheets
Code	(\$)	Code	(\$)	beyond initial 100 sheets (count spec & drawings except sequences & program listings):
1081	250	2081	125	Utility
1082	250	2082	125	Design
1083	250	2083	125	Plant
1084	250	2084	125	Reissue

SUBTOTAL (3) \$ 0.00

FEE C	ALCULA'	TION (cor	<u>ntinued)</u>		
4. <u>01</u>	THER FEE	<u> </u>			
					Fees Paid (\$)
Non-Er	nglish Spe	cification,	\$130 fee	(no small entity discount)	
   Large I	Entity	Small E	ntity		
Fee	Fee	Fee	Fee		
Code	(\$)	Code	(\$)	Fee Description	
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1813	8,800	1813	8,800	Request for inter parties reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251 1252	120 450	2251 2252	60 225	Extension for reply within first month Extension for reply within second month	
1252	1,020	2253	510	Extension for reply within third month	
1254	1,590	2254	795	Extension for reply within fourth month	
1255	2,160	2255	1,080	Extension for reply within fifth month	
1401	500	2401	250	Notice of Appeal	
1402	500	2402	250	Filing a brief in support of an appeal	<del></del>
1403	1,000	2403	500	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	500	2452	250	Petition to revive – unavoidable	
1453	1,500	2453	750	Petition to revive - unintentional	
1501	1,400	2501	700	Utility issue fee (or reissue)	
1502	800	2502	400	Design issue fee	
1503	1100	2503	550	Plant issue fee	
1462	400	1462	400	Petitions to the Commissioner (CFR 1.17(f) Group I)	
1463	200	1463	200	Petitions to the Commissioner (CFR 1.17(g) Group II)	
1464	130	1464	130	Petitions to the Commissioner (CFR 1.17(h) Group III)	
1807 1806	50 180	1807 1806	50 180	Processing fee under 37 CFR 1.17(q)	
8021	180 40	8021	40	Submission of Information Disclosure Stmt Recording each patent assignment per	
0021	40	0021	40	property (times number of properties)	
1809	790	2809	395	For filing a submission after final rejection	
1000	, , ,	2000	000	(see 37 CFR 1.129(a))	
1814	130	2814	65	Statutory Disclaimer	<del></del>
1810	790	2810	395	For each additional invention to be examined	
				(see 37 CFR 1.129(b))	
1801	790	2801	395	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design	
				application	
1504	300	1504	300	Publication fee for early, voluntary, or normal pub.	
1505	300	1505	300	Publication fee for republication	
1803	130	1803	130	Request for voluntary publication or republication	
1808	130	1808	130	Processing fee under 37 CFR 1.17(i) (except provisionals)	
1454	1,370	1454	1,370	Acceptance of uninténtionally delayed claim for priority	
Other f	ee (specif	y)			
0456				•	
Otner t	ee (specit	y)		<del></del>	
				SUBTOTAL (4) \$ 0.	00
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SUBM	ITTED BY	<u>′</u> :			
Typed	or Printe	d Name:	Leste	r J. Vincent	
Signat	uro:	W II	N +	Date: De Cember 29, 2005	
Jugitat		1	<i>-</i>	Date. p. commu. 21, 2003	•••••••
Reg. N	lumber:	31,460		Telephone Number: <u>408-720-83</u>	300

Send to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450



#### PATENT

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:

Nicholas Paul Cowley, et al.

Application No.: 10/688,556

Filed: October 16, 2003

For: RADIO FREQUENCY TUNER

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 Examiner:

Art Unit: 2681

## **INFORMATION DISCLOSURE STATEMENT**

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

XXX	37 C.F.R. §1.97(b).
	37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is <u>one</u> of the following:
	A statement pursuant to 37 C.F.R. §1.97(e) or
	A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).
	37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:

- (1) A statement pursuant to 37 C.F.R. §1.97(e); and
- (2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: Do Cambra 29, 2005

Lester J. Vincent Reg. No. 31,460

12400 Wilshire Boulevard Seventh Floor Los Angeles, California 90025 (403) 720-8300

Substitute	for Form 1449	/PTO				Complete in	f Known
,			TION DISCLOS	ID	EOLPE	Application Number	10/688,556
				Filing Date	October 16, 2003		
•	STATE	EME	ENT BY APPLICA	First Named Inventor:	Nicholas Paul Cowley		
		(use as	s many sheets as necessary)	Ι,	AN 0 3 2006	Art Unit	2681
				18	8/	Examiner Name	
Sheet	et 1 of				2 6	Attorney Docket Number	6770P004
			U.S. PA	TFN	T DOCUMENTS		
Examiner Initials*	Cite No. <sup>1</sup>	Num	Document Number ber-Kind Code <sup>2</sup> (If known)		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-					
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Cite No. <sup>1</sup>	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns,	-
	Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Date MM-DD-YYYY	Applicant of Cited Document	Lines, Where Relevant Passages or Relevant Figures Appear	T'
	EP 0729230 A1	08/28/1996	Bly et al.		
	EP 1085665 A2	03/21/2001	Cowley et al.		
	GB 2215945 A	09/27/1989	Masterton et al.		
	WO 00/22735 A1	04/20/2000	Ali		
		(if known)  EP 0729230 A1  EP 1085665 A2  GB 2215945 A	(if known)  EP 0729230 A1 08/28/1996  EP 1085665 A2 03/21/2001  GB 2215945 A 09/27/1989	(if known)  EP 0729230 A1 08/28/1996 Bly et al.  EP 1085665 A2 03/21/2001 Cowley et al.  GB 2215945 A 09/27/1989 Masterton et al.	(if known)  EP 0729230 A1 08/28/1996 Bly et al.  EP 1085665 A2 03/21/2001 Cowley et al.  GB 2215945 A 09/27/1989 Masterton et al.

Examiner	·	Date Considered	
Signature			

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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Substitute fo	r Form 14	449/PTO			Con	nplete if Known	
· INFO	ЗМАТ	TION DIS	SCLOS	URF	Application Number	10/688,556	
					Filing Date	October 16, 2003	
STAT		NT BY A		ANT	First Named Inventor:	Nicholas Paul Cowley	
	(use as n	nany sheets as	necessary)		Art Unit	2681	
					Examiner Name		
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					(April 29, 2005)		
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Examiner						Date Considered	

<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English Translation is attached.

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# Summary of USPTO Kind Codes Used on Documents Published Beginning January 2, 2001

WIPO ST.16 Kind Codes/AN 03	Kind of document	Comments
A1	Patent Application Publication	Pre-grant publication available March 2001
A2	Patent Application Publication Patent Application Publication (Republication)	Pre-grant publication available March 2001
A9	Patent Application Publication (Corrected Publication)	Pre-grant publication available March 2001
B1	Patent	No previously published pre-grant publication
B2	Patent	Having a previously published pre-grant publication and available March 2001
C1, C2, C3	Reexamination Certificate	Previously used codes B1 and B2 are now used for granted Patents
E	Reissue Patent	No change
. Н	Statutory Invention Registration (SIR)	No change
P1	Plant Patent Application Publication	Pre-grant publication available March 2001
P2	Plant Patent	No previously published pre-grant publication
P3	Plant Patent	Having a previously published pre-grant publication and available March 2001
P4	Plant Patent Application Publication (Republication)	Pre-grant publication available after March 2001
Р9	Plant Patent Application Publication (Corrected Publication)	Pre-grant publication available March 2001
S .	Design Patent	No change